

02-05-02

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JC962 U.S. PTO  
01/31/02Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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|   |                        |   |
|---|------------------------|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No.    | 112-0020US  |
|   | First Inventor         | Richard Hammons   |
|   | Title                  | Network Security Through Configuration Servers In The Fabric Envi |
|   | Express Mail Label No. | ET616588033US   |

|  |   |
|--|---|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.  | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, D.C. 20231   |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original, and a duplicate for fee processing)  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)   |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.  | 8. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)   |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages <b>80</b> ]<br>(preferred arrangement set forth below) <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> | a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> c. <input type="checkbox"/> Statements verifying identity of above copies   |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>17</b> ]  | <b>ACCOMPANYING APPLICATION PARTS</b>   |
| 5. Oath or Declaration [Total Pages <input type="checkbox"/> ] <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>             (for continuation/divisional with Box 18 completed)             <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>               Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul>   | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>(when there is an assignee)<br>11. <input type="checkbox"/> English Translation Document (if applicable)<br>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations<br>13. <input type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)<br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)<br>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.<br>17. <input type="checkbox"/> Other: |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   |   |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

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or ☐ Correspondence address below

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| Country | Telephone | Fax      |  |

|                   |                |                                   |         |
|-------------------|----------------|-----------------------------------|---------|
| Name (Print/Type) | Low Brucculeri | Registration No. (Attorney/Agent) | 38,834  |
| Signature         |                | Date                              | 1/31/02 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

J1011 U.S. PTO  
10/066251

01/31/02

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$2,276.00

Application Number

Filing Date

First Named Inventor

Richard Hammons

Examiner Name

Group Art Unit

Attorney Docket No.

112-0020US

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

Deposit Account:

Deposit Account Number

501922

Deposit Account Name

Wong Cabello Lutsch Rutherford

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description               | Fee Paid |
|--------------|--------------|----------|----------|-------------------------------|----------|
|              |              | 101      | 740      | 201 370 Utility filing fee    | 740.00   |
|              |              | 106      | 330      | 206 165 Design filing fee     |          |
|              |              | 107      | 510      | 207 255 Plant filing fee      |          |
|              |              | 108      | 740      | 208 370 Reissue filing fee    |          |
|              |              | 114      | 160      | 214 80 Provisional filing fee |          |
| SUBTOTAL (1) |              |          |          |                               | \$740.00 |

#### 2. EXTRA CLAIM FEES FOR UTILITY AND

| Extra Claims                    | Fee from below | Fee Paid |
|---------------------------------|----------------|----------|
| Total Claims 54 -20** = 34      | X 18.00 =      | 612.00   |
| Independent Claims 14 -3** = 11 | X 84.00 =      | 924.00   |
| Multiple Dependent              |                |          |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description  | Fee Paid   |
|--------------|--------------|----------|----------|--|------------|
|              |              | 103      | 18       | 203 9 Claims in excess of 20                                     |            |
|              |              | 102      | 84       | 202 42 Independent claims in excess of 3                         |            |
|              |              | 104      | 280      | 204 140 Multiple dependent claim, if not paid                    |            |
|              |              | 109      | 84       | 209 42 ** Reissue independent claims over original patent        |            |
|              |              | 110      | 18       | 210 9 ** Reissue claims in excess of 20 and over original patent |            |
| SUBTOTAL (2) |              |          |          |  | \$1,536.00 |

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description   | Fee Paid |
|--------------|--------------|----------|----------|---|----------|
|              |              | 105      | 130      | 205 65 Surcharge - late filing fee or oath  |          |
|              |              | 127      | 50       | 227 25 Surcharge - late provisional filing fee or cover sheet                     |          |
|              |              | 139      | 130      | 139 130 Non - English specification   |          |
|              |              | 147      | 2,520    | 147 2,520 For filing a request for <i>ex parte</i> reexamination                  |          |
|              |              | 112      | 920*     | 112 920* Requesting publication of SIR prior to Examiner action                   |          |
|              |              | 113      | 1,840*   | 113 1,840* Requesting publication of SIR after Examiner action                    |          |
|              |              | 115      | 110      | 215 55 Extension for reply within first month                                     |          |
|              |              | 116      | 400      | 216 200 Extension for reply within second month                                   |          |
|              |              | 117      | 920      | 217 460 Extension for reply within third month                                    |          |
|              |              | 118      | 1,440    | 218 720 Extension for reply within fourth month                                   |          |
|              |              | 128      | 1,960    | 228 980 Extension for reply within fifth month                                    |          |
|              |              | 119      | 320      | 219 160 Notice of Appeal  |          |
|              |              | 120      | 320      | 220 160 Filing a brief in support of an appeal                                    |          |
|              |              | 121      | 280      | 221 140 Request for oral hearing  |          |
|              |              | 138      | 1,510    | 138 1,510 Petition to institute a public use proceeding                           |          |
|              |              | 140      | 110      | 240 55 Petition to revive - unavoidable   |          |
|              |              | 141      | 1,280    | 241 640 Petition to revive - unintentional  |          |
|              |              | 142      | 1,280    | 242 640 Utility issue fee (or reissue)  |          |
|              |              | 143      | 460      | 243 230 Design issue fee  |          |
|              |              | 144      | 620      | 244 310 Plant issue fee   |          |
|              |              | 122      | 130      | 122 130 Petitions to the Commissioner   |          |
|              |              | 123      | 50       | 123 50 Processing fee under 37 CFR § 1.17(q)                                      |          |
|              |              | 126      | 180      | 126 180 Submission of Information Disclosure Statement                            |          |
|              |              | 581      | 40       | 581 40 Recording each patent assignment per property (times number of properties) |          |
|              |              | 146      | 740      | 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
|              |              | 149      | 740      | 249 370 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
|              |              | 179      | 740      | 279 370 Request for Continued Examination (RCE)                                   |          |
|              |              | 169      | 900      | 169 900 Request for expedited examination of a design application                 |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

### SUBMITTED BY

Name (Print/Type)

Lou Brucculeri

Registration No.  
(Attorney/Agent)

38,834

Complete (if applicable)

Telephone

832/446 2415

Signature

Date

11/31/02

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